**Operator and General Audit Information**

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| **Company:** | **Name:** |
| **Mailing and Official Address (If different):** |
| **Doing Business as or Affiliation:** |
| **PHMSA Operator Identification (OPID) No.** |  |
| **Unit ID Number/ Unit Name inspected** |  |
| **Address:** |  | **Lead Auditor or Inspector** | **Name:** |
| **Agency:** |
| **Date of Audit or Inspection:** |  |

***Company Representatives Participating***

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| **Key Persons** | **Name/Title/Mailing Address** | **Phone/Email Address** |
| **Primary Operator or Representative Interviewed or Providing Information** |  |  |
| **Others** |  |  |
| **Interviewed,** |
| **Providing** |
| **Information or** |
| **Present at Audit** |
| **or Inspection:** |
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| **1. Issues Identified in Previous Integrity Management Inspections**Have all issues raised in previous DIMP inspections been satisfactorily addressed? (GDIM.QA.ISSUESIMPL.R)  |
| 192.1005  |
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| **2. Identify Threats - Outside Sources**Has the operator identified information or data from external sources (e.g. trade associations, operator's consultants, government agencies, other operators, manufacturers, etc.) that may require re-evaluation of threats and risks? (GDIM.RA.OUTSIDESOURCESIMPL.R)  |
| 192.1007(b)  |
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| **3. System Knowledge - Gaps**Is missing or incomplete system information and data needed to fill knowledge gaps to assess existing and potential threats being collected? (GDIM.RA.GAPSIMPL.R)  |
| 192.1007(a)(3)  |
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| **4. System Knowledge - Information Needed (Systems)**Is missing or incomplete system information and data using the procedures prescribed in the DIMP plan being collected? (GDIM.RA.SYSINFONEEDSIMPL.R)  |
| 192.1007(a)(3)  |
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| **5. System Knowledge - Information Needed (O&M)**Has any new or missing information identified or acquired during normal operations, maintenance, and inspection activities been incorporated into the DIMP plan? (GDIM.RA.OMINFONEEDSIMPL.R)  |
| 192.1007(a)(3)  |
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| **6. System Knowledge - New Pipe Data**Have required data on any new pipeline installations since August 2, 2011 been captured (e.g., location, wall thickness/SDR, manufacturer, lot/production number)? (GDIM.RA.NEWPIPEIMPL.R)  |
| 192.1007(a)(5)  |
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| **7. System Knowledge - Data Collection Forms**Are data collection forms used in conjunction with the operator's DIMP plan being fully and accurately completed? (GDIM.RA.DATAFORMIMPL.R)  |
| 192.1007(a)  |
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| **8. System Knowledge - SME Input**If Subject Matter Experts (SMEs), is their documented knowledge and experience being appropriately used in the DIMP Program? (GDIM.RA.SMEIMPL.R)  |
| 192.1007(a)  |
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| **9. System Knowledge - Understanding**Do operator personnel in the field understand their responsibilities under the DIMP plan? (GDIM.RA.DEMOUNDERSTANDINGIMPL.O)  |
| 192.1007(a)  |
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| **10. Identify Threats - Threats Considered (New Information)**Has the operator acquired any new information relevant to system knowledge that may affect its threat identification? (GDIM.RA.THREATCATEGORIESIMPL.R)  |
| 192.1007(b)  |
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| **11. Identify Threats - Information Considered (Changes)**Have any changes occurred that require re-evaluation of threats and risks? (GDIM.RA.INFOCONSIDEREDIMPL.R)  |
| 192.1007(b)  |
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| **12. Identify Threats - Implementation (Update)**Since the last DIMP plan review by the regulatory agency, has the threat identification and risk assessment been updated based on newly acquired information or data relevant to system knowledge? (GDIM.RA.IMPLEMENTTHUPDATEIMPL.R)  |
| 192.1007(c)  |
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| **13. Identify Threats - Implementation (DIMP Process)**If the threat identification and risk evaluation and ranking have been modified, were the revisions made in accordance with the procedure in the DIMP plan? (GDIM.RA.IMPLEMENTTHUPDATEPLANIMPL.R)  |
| 192.1007(c)  |
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| **14. Rank Risk - System Subdivision (Adequacy)**Does the current subdivision process (grouping of materials, geographic areas, etc.) adequately meet the need to properly evaluate and rank the existing and potential threats to the integrity of the system? (GDIM.RA.SUBDIVIDEADEQIMPL.R)  |
| 192.1007(c)  |
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| **15. Rank Risk - System Subdivision (Update)**Have the system subdivisions within the risk evaluation and ranking been added to or modified since the last plan review by the regulatory agency? (GDIM.RA.SUBDIVIDEUPDATEIMPL.R)  |
| 192.1007(c)  |
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| **16. Rank Risk - System Subdivision (DIMP)**If system subdivisions have been added or modified, was it done in accordance with the procedures described in the DIMP plan? (GDIM.RA.SUBDIVIDEDIMPIMPL.R)  |
| 192.1007(c)  |
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| **17. Rank Risk - System Subdivision (Result)**If system subdivisions have been added or modified, did the new system subdivision result in modifications to the risk evaluation and ranking? (GDIM.RA.SUBDIVIDERESULTIMPL.R)  |
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| **18. Measures to Reduce Risk - Implementation (Update)**Does documentation reviewed demonstrate that measures to reduce risks per the DIMP plan are being implemented? (GDIM.PM.IMPLEMENTPMIMPL.R)  |
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| **19. Measures to Reduce Risk - Implementation (Completion)**Have any measures to reduce risks resulting in the elimination/mitigation of the associated identified threat been completed (e.g., pipe replacement program completed, etc.)? (GDIM.PM.IMPLEMENTPMCOMPLETEIMPL.R)  |
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| **20. Measures to Reduce Risk - Implementation (Re-Evaluate)**Have risks been re-evaluated and re-ranked because of the elimination/mitigation of an identified threat? (GDIM.PM.IMPLEMENTPMREEVALIMPL.R)  |
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| **21. Measures to Reduce Risk - Implementation (Specific Risk)**Does each implemented risk reduction measure identified in the DIMP plan address a specific risk or group of risks? (GDIM.PM.IMPLEMENTPMSPECIFRISKIMPL.R)  |
| 192.1007(d)  |
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| **22. Measures to Reduce Risk - Leak Management**Can the operator provide documentation to demonstrate that an effective leak management program is being implemented (answer "N/A" if all leaks are repaired when found)? (GDIM.PM.LEAKMANAGEMENTIMPL.R)  |
| 192.1007(d)  |
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| **23. Measure Performance - Implementation (Data)**Is data being collected for the required performance measures in 192.1007(e)? (GDIM.QA.IMPLEMENTEVDATAIMPL.R)  |
| 192.1007(e)  |
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| **24. Measure Performance - Implementation (DIMP)**Based on field observations and/or record reviews, is the data used to measure performance being accurately collected in accordance with the procedures in its DIMP plan? (GDIM.QA.IMPLEMENTEVDATADIMPIMPL.R)  |
| 192.1007(e)  |
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| **25. Measure Performance - Implementation (Baseline)**Is each performance measure being monitored from an established baseline? (GDIM.QA.IMPLEMENTEVBASELINEIMPL.R)  |
| 192.1007(e)  |
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| **26. Measure Performance - Implementation (Specific Risk)**Is each performance measure added since the DIMP plan was last updated tied to a specific risk reduction measure or group of measures? (GDIM.QA.IMPLEMENTEVRISKIMPL.R)  |
| 192.1007(e)  |
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| **27. Periodic Evaluation - Implementation (Frequency)**Have periodic evaluations of the DIMP plan been performed on the frequency specified in the plan? [If a periodic evaluation has not been required since plan implementation or the last inspection, mark questions 27-32 as "N/A".] (GDIM.CA.PERIODICEVALFREQIMPL.R)  |
| 192.1007(f)  |
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| **28. Periodic Evaluation - Implementation (Requirements)**Did the periodic evaluation include all required elements? (GDIM.CA.PERIODICEVALREQTSIMPL.R)  |
| 192.1007(f)  |
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| **29. Periodic Evaluation - Implementation (High Risk)**If any established performance measures indicated an increase in risk beyond an acceptable level (as established in the DIMP plan), were new risk reduction measures implemented along with their associated performance measures? (GDIM.CA.PERIODICEVALHIRISKIMPL.R)  |
| 192.1007(f) (192.1007(e))  |
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| **30. Periodic Evaluation - Implementation (Risk Reduction Measures)**If the periodic evaluation indicates that implemented measures to reduce risks are NOT effective, were risk reduction measures modified, deleted or added? (GDIM.CA.PERIODICEVALRISKREDIMPL.R)  |
| 192.1007(f)  |
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| **31. Periodic Evaluation - Implementation (Performance Measures)**Did the periodic evaluation indicate that the selected performance measures are assessing the effectiveness of risk reduction measures, and, if not, were performance measures modified, deleted or added? (GDIM.CA.PERIODICEVALPRFMESIMPL.R)  |
| 192.1007(f)  |
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| **32. Periodic Evaluation - Implementation (DIMP Plan)**Were procedures followed in conducting periodic evaluations and program improvements? (GDIM.CA.PERIODICEVALDIMPIMPL.R)  |
| 192.1007(f)  |
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| **33. Report Results - Submittal**Were Parts C and D of the PHMSA Distribution Annual Report (Form 7100.1-1) completed in the submission to PHMSA and the state regulatory authority having jurisdiction, if required, for each year since the last inspection? (GDIM.RR.SUBMITREPORTSIMPL.R)  |
| 192.1007(g)  |
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| **34. Mechanical Fitting Failures - Information Collection (Data)**Have accurate records been maintained documenting mechanical fitting failures that resulted in hazardous leaks? (GDIM.RR.MECHANICALFITTINGDATAIMPL.R)  |
| 192.1009 (191.12)  |
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| **35. Mechanical Fitting Failures - Information Collection (Reporting)**Did the operator report all mechanical fitting failures that resulted in a hazardous leak for the previous calendar year to PHMSA and State authorities, as appropriate, by March 15th of the next calendar year, and did the reports contain the information required by Department of Transportation Form PHMSA F-7100.1-2? (GDIM.RR.MECHANICALFITTINGRPTIMPL.R)  |
| 192.1009 (191.12)  |
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| **36. Mechanical Fitting Failures - Information Collection (DIMP)**Were procedure(s) followed for collecting the appropriate information and submitting PHMSA Form F-7100.1-2? (GDIM.RR.MECHANICALFITTINGDIMPIMPL.O)  |
| 192.1009 (191.12)  |
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| **37. Records - Implementation**Are records retained demonstrating compliance with Subpart P, as specified in its DIMP plan, for 10 years (or since 08/02/2011)? (GDIM.QA.RECORDREQUIREMENTSIMPL.R)  |
| 192.1011  |
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| **38. Records - Implementation (Plan Retention)**Were copies of superseded DIMP plans retained for 10 years (or since 08/02/2011)? (GDIM.QA.RECORDREQTSRETAINIMPL.R)  |
| 192.1011  |
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| **39. Records - Implementation (DIMP)**Were DIMP procedures followed as applicable to records retention? (GDIM.QA.RECORDREQTSDIMPIMPL.R)  |
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| **40. Deviation from Periodic Inspections - Approval**Has approval been requested or received from PHMSA or the appropriate State Regulatory Authority for alternate (less strict than code) periodic inspection intervals? [If no, mark Subgroup GDIM.IMPL questions 40-44 "N/A".] (GDIM.PI.PERIODICINSPDEVAPPRVIMPL.R)  |
| 192.1013(c)  |
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| **41. Deviation from Periodic Inspections - Implementation**Have the periodic inspections been implemented and have they been conducted at the specified (approved) alternate intervals? (GDIM.PI.PERIODICINSPDEVPERFRMIMPL.R)  |
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| **42. Deviation from Periodic Inspections - Requirements or Conditions** Have all conditions been complied with that were required as part of the alternate inspection interval approval? (GDIM.PI.PERIODICINSPDEVREQTIMPL.R)  |
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| **43. Deviation from Periodic Inspections - Performance Measures**Do performance measure records indicate that deviation inspection resources were assigned to higher risk threat(s) and/or that that decreased inspection intervals contributed to an equal or greater overall level of safety has been achieved since the alternate inspection frequency was implemented? (GDIM.PI.PERIODICINSPDEVPRFMESIMPL.R)  |
| 192.1013(c)  |
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| **44. Deviation from Periodic Inspections - Corrective Action**If that an equal or greater overall level of safety has not been achieved, has corrective action been taken? (GDIM.PI.PERIODICINSPDEVACTIONIMPL.R)  |
| 192.1013(c)  |
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**Reporting - Regulatory Reporting (Traditional)**

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| **5. Mechanical Fitting Failures - Information Collection (Data)**Have accurate records been maintained documenting mechanical fitting failures that resulted in hazardous leaks? (GDIM.RR.MECHANICALFITTINGDATAIMPL.R)  |
| 192.1009 (191.12)  |
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Except as required to be disclosed by law, any inspection documentation, including completed protocol forms, summary reports, executive summary reports, and enforcement documentation are for internal use only by federal or state pipeline safety regulators. Some inspection documentation may contain information which the operator considers to be confidential. In addition, supplemental inspection guidance and related documents in the file library are also for internal use only by federal or state pipeline safety regulators (with the exception of documents published in the federal register, such as advisory bulletins). Do not distribute or otherwise disclose such material outside of the state or federal pipeline regulatory organizations. Requests for such information from other government organizations (including, but not limited to, NTSB, GAO, IG, or Congressional Staff) should be referred to PHMSA Headquarters Management.